



IFA LEGAL SYMPOSIUM

Item 19 FPRs & COVID-19

May 5, 2021

Speakers

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Disclaimer

The views and opinions expressed in this program are those of the speakers, and do not purport to represent or reflect any policy or position of the Securities Division of the Washington Department of Financial Institutions, the North American Securities Administrators Association, Inc., or any law firm.

A Brief History of FPRs

COVID & FPRs + NASAA COVID FPR Guidance

2020

Different Impact on Different Franchisors – How Were FPRs Addressed?

2020

What Did the Registration States See?

Analysis for Franchisors in 2021 – Can a Franchisor Include an FPR and Provide a Reasonable Basis?

Alternative FPR Formats for 2021

What are the Registration States Seeing in 2021?

Ongoing Responsibilities to Review

Final Thoughts